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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

**Austin M. Long, et al.**

Serial No.: 10/071,864

Filed: February 7, 2002

Title: **METHOD FOR CALCULATING  
PORTFOLIO SCALED IRR**

Group Art Unit: **Unknown**

Examiner: **Unknown**

Atty. Dkt. No.: **ALI515/4-2**

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

May 2, 2002  
Date

Debbie Berroth

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

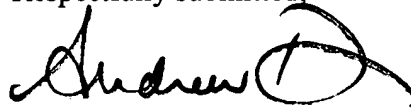
In response to the Notice to File Missing Parts of Nonprovisional Application dated March 8, 2002, a copy of which is enclosed herewith, Applicant claims small entity status under 37 CFR 1.27. Also in response to the Notice, there are enclosed herewith:

- (a) Declaration executed on behalf of Austin M. Long, III and Craig J. Nickels; and
- (b) Our check in the amount of \$500.00 to cover the basic filing fee (\$370) and surcharge for late filing (\$130).

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Vinson & Elkins L.L.P. Deposit Account No. 22-0365/ALI515/4-2.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Andrew G. DiNovo", with a large, stylized circular flourish at the end.

Andrew G. DiNovo  
Reg. No. 40,115  
Attorney for Applicant

Vinson & Elkins L.L.P.  
2300 First City Tower  
1001 Fannin  
Houston, Texas 77002-6760  
512/495-8446

Date: May 2, 2002



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,864	02/07/2002	Austin M. Long III	ALI515/4-2/10000

CONFIRMATION NO. 1373

FORMALITIES LETTER



\*OC000000007602886\*

Timothy S. Corder  
VINSON & ELKINS LLP  
2300 First City Tower  
1001 Fannin  
Austin, TX 77002-6760

Date Mailed: 03/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/13/2002 TGEDAMU1 00000031 10071864

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 OP  
02 FC:205 65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

H. Truong

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Repln. Ref: 05/13/2002 TGEDAMU1 0009383900  
DA# 220365 Name/Number: 10071864  
FC: 704 \$65.00 CR